



Prepared by Region IX Office of Head Start

Arizona Head Start Association Region IX Update November 15, 2018

FY 2019 Budget



- ▶ \$10.063 billion Head Start appropriation includes:
 - \$150m for COLA at 1.7% for HS / EHS / EHS-CCP grantees
 - PI Will be issued soon
 - An additional \$50m for EHS-CCP
 - OHS announced 3 FOAs for the EHS-CCP for combined FY 2018 and 2019 funding that totals \$150. The Funding Opportunity Announcement has been released on [grants.gov](https://www.grants.gov)
 - Applications are due November 30th.

Funding Opportunities



▶ Duration (HS/EHS) to Increase Program Hours

- HSES application open 10/1/2018 – 12/1/2018 (see [ACF-PI-HS-18-05](#))
- Applications are due December 1.

▶ Program Improvement

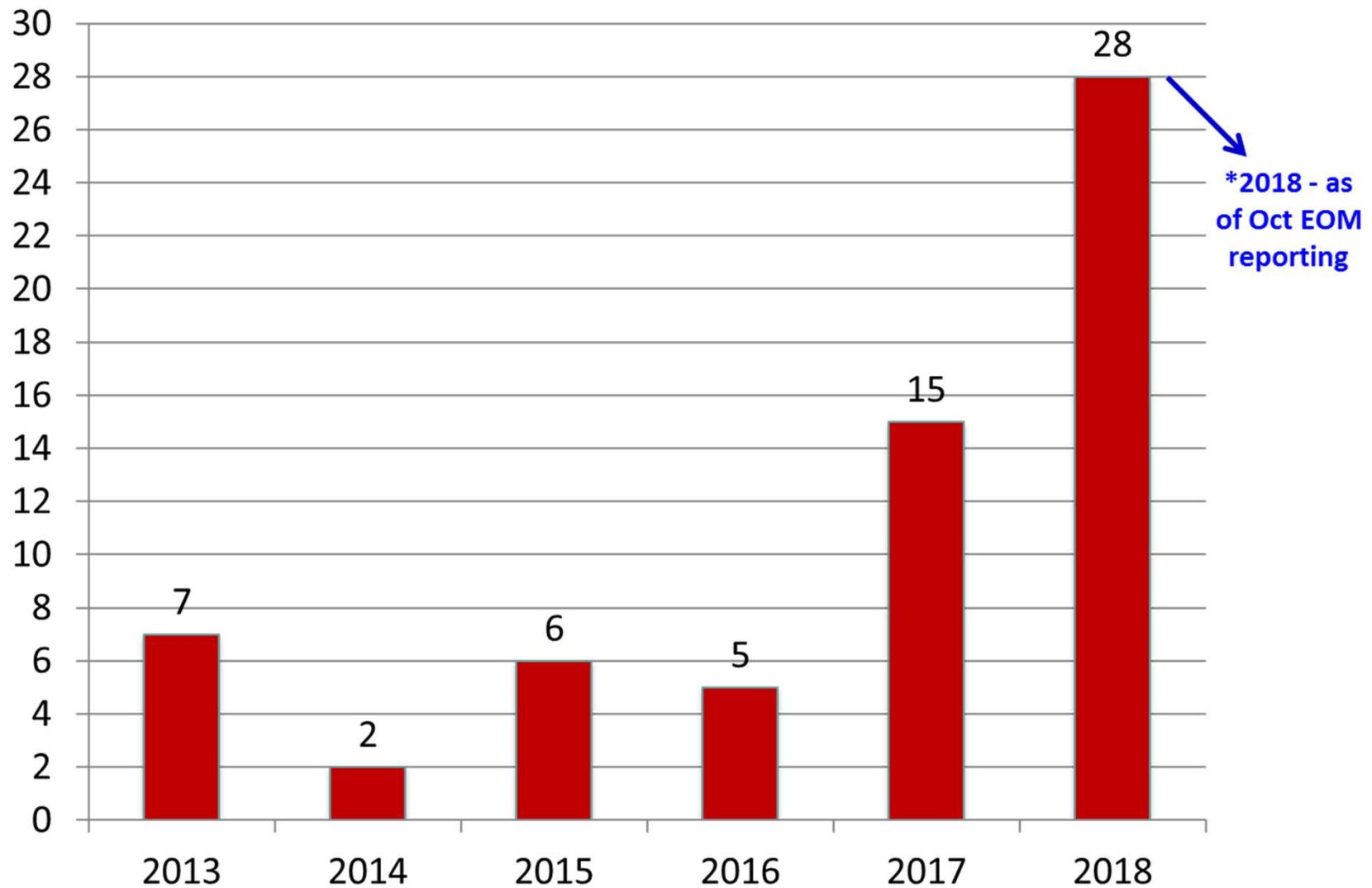
- [ACF-PI-HS-18-03](#) states “Grantees encountering program improvement needs should contact their Regional Office and submit supplemental applications throughout the year as needs emerge
- Requests are addressed by emergency/priority and subject to availability of funds. Applications must be submitted to HSES
- Please discuss with your Program Specialist as soon as you know you will need to submit a PI application

ACF-PI-HS-18-04: Full Enrollment Initiative



- ▶ Head Start programs must provide services to **100%** of the children they are funded to serve and maintain an **active** waiting list at all times
- ▶ OHS enrollment review process includes:
 - Determination of agencies that are operating with an actual enrollment less than the funded enrollment based on not less than four consecutive months of data
 - The count of consecutive months underenrolled **does not restart** after a program has been closed (eg. summer break)
 - 4 or more months of consecutive underenrollment will result in issuance of an Underenrollment Letter. Grantee must develop a plan and timetable for reducing / eliminating underenrollment
 - Support from TTA to develop 12-month corrective action plan.
- ▶ OHS has the authority to designate a grantee as chronically underenrolled after the 12-month period has ended
 - Actions authorized in HS Act include recapturing, withholding or reducing the annual funding and funded enrollment
- ▶ Specifies the process for grantee appeal and timelines
- ▶ Specifies the process for OHS removal of chronically underenrolled designation

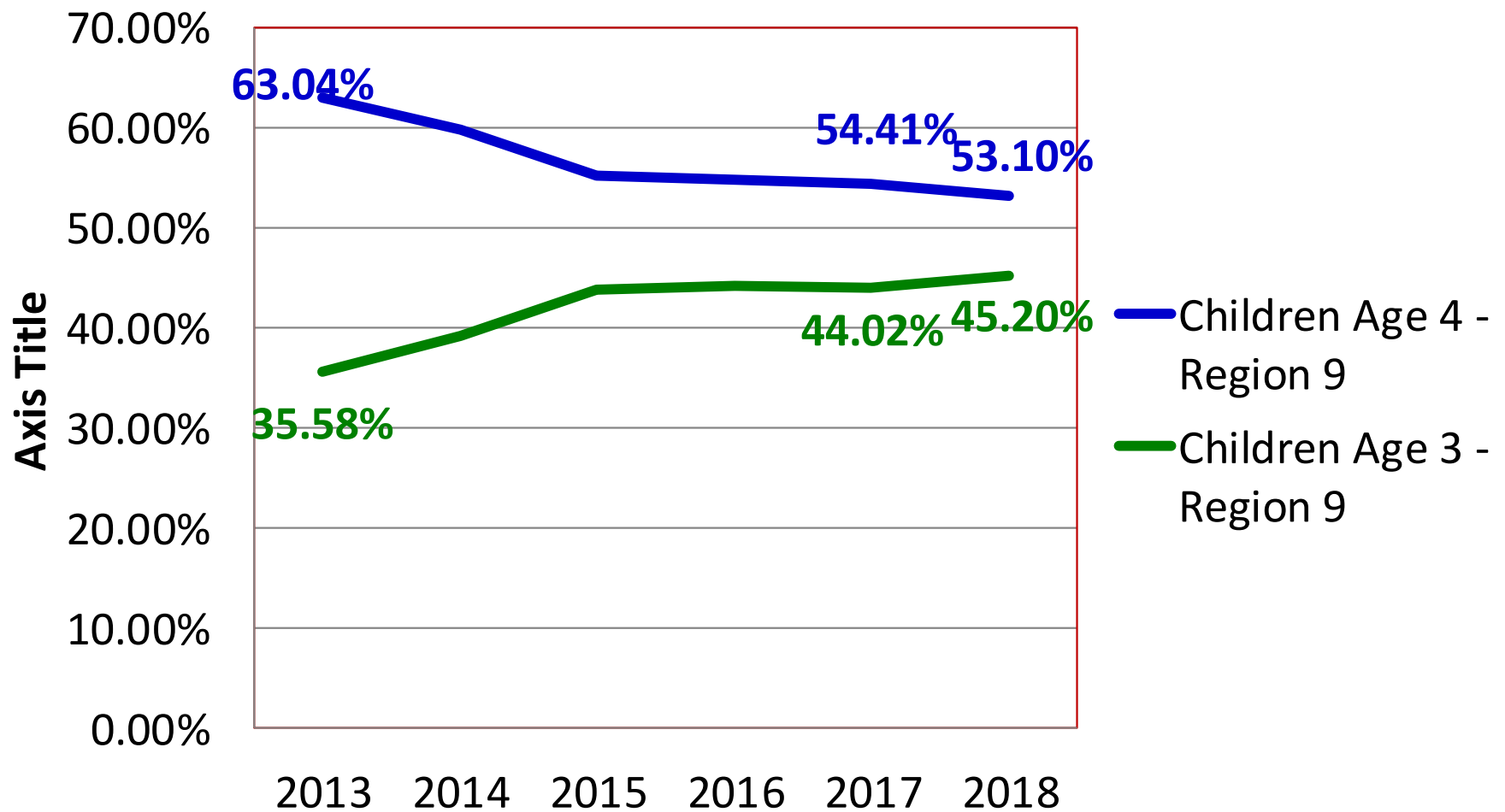
Grantees with 4 Consecutive Months Under-enrollment/12-month Corrective Action Plan



Percent of Region 9 Children Aged 3 and 4 Being Served in Head Start Programs



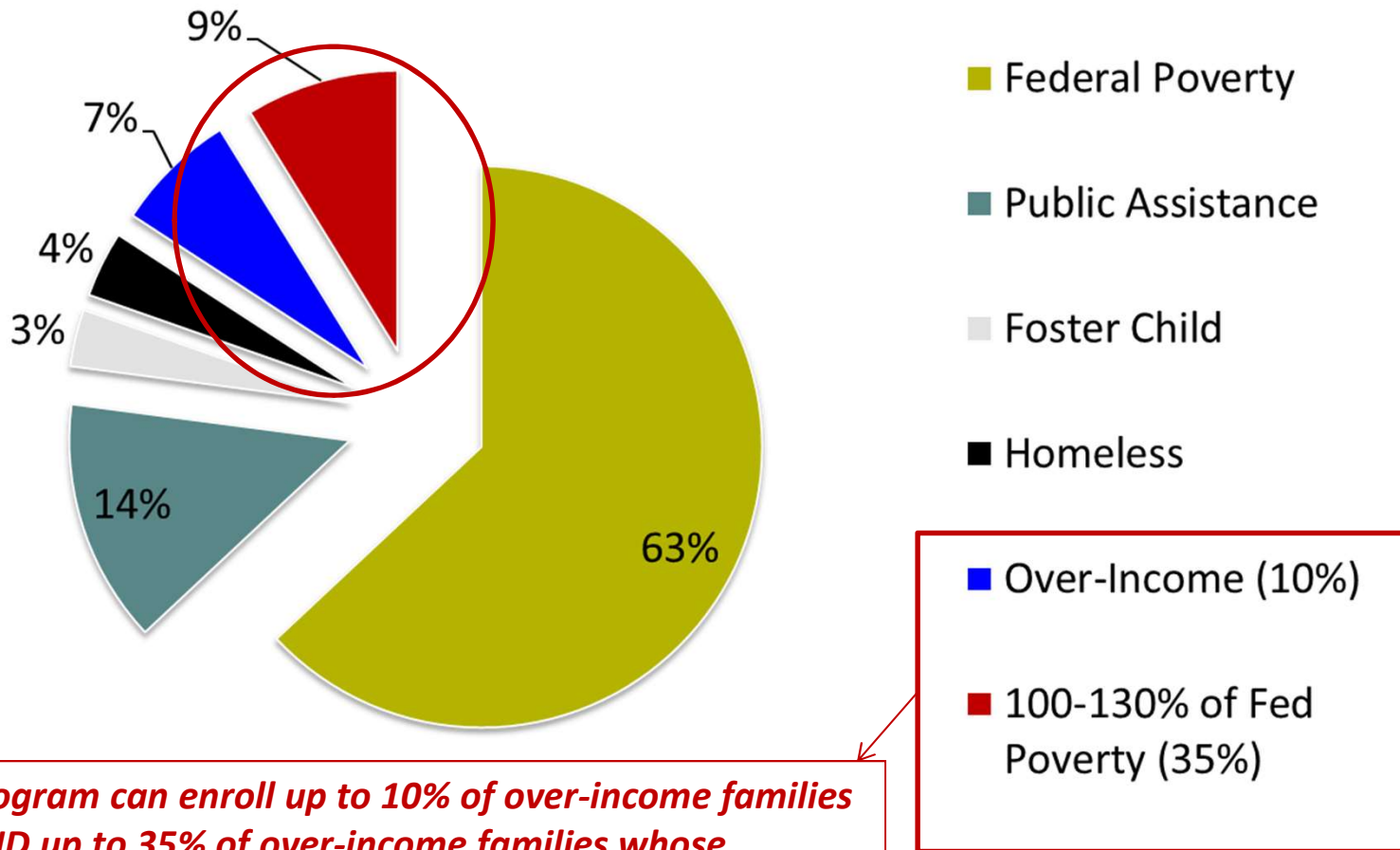
Region 9 Age PIR Trend Data



PIR 2018 Region 9 Eligibility Data



% of Enrollment by type of Eligibility



Program can enroll up to 10% of over-income families AND up to 35% of over-income families whose incomes between 100-130% of federal poverty

Does our Program Design Fit the Community's Needs?



- ▶ Are we offering the right options to meet the needs of families?
- ▶ Are we partnering adequately as a community system to find and serve all eligible children?
- ▶ If the kids and families really aren't there, does the community still need the resources, or would they be better served elsewhere?



Safe Foundations, Healthy Futures National Campaign



- ▶ Launched August 21, 2018 via webcast
- ▶ Promote safe environments, and eliminate preventable risks to children's health, safety, and well-being
- ▶ Support safe children, thriving staff, and strong programs
- ▶ Monthly themes that focus on best practices and resources to prevent risk of physical/emotional harm
- ▶ Led by National Centers through a series of webcasts, emails, tip sheets, talking points, case studies and/or vignettes based on real events
- ▶ Other forms of communication tools are OHS blogs, MyPeers community discussions, social messaging, Text 4 Teachers

Promote Effective Health & Safety Practices



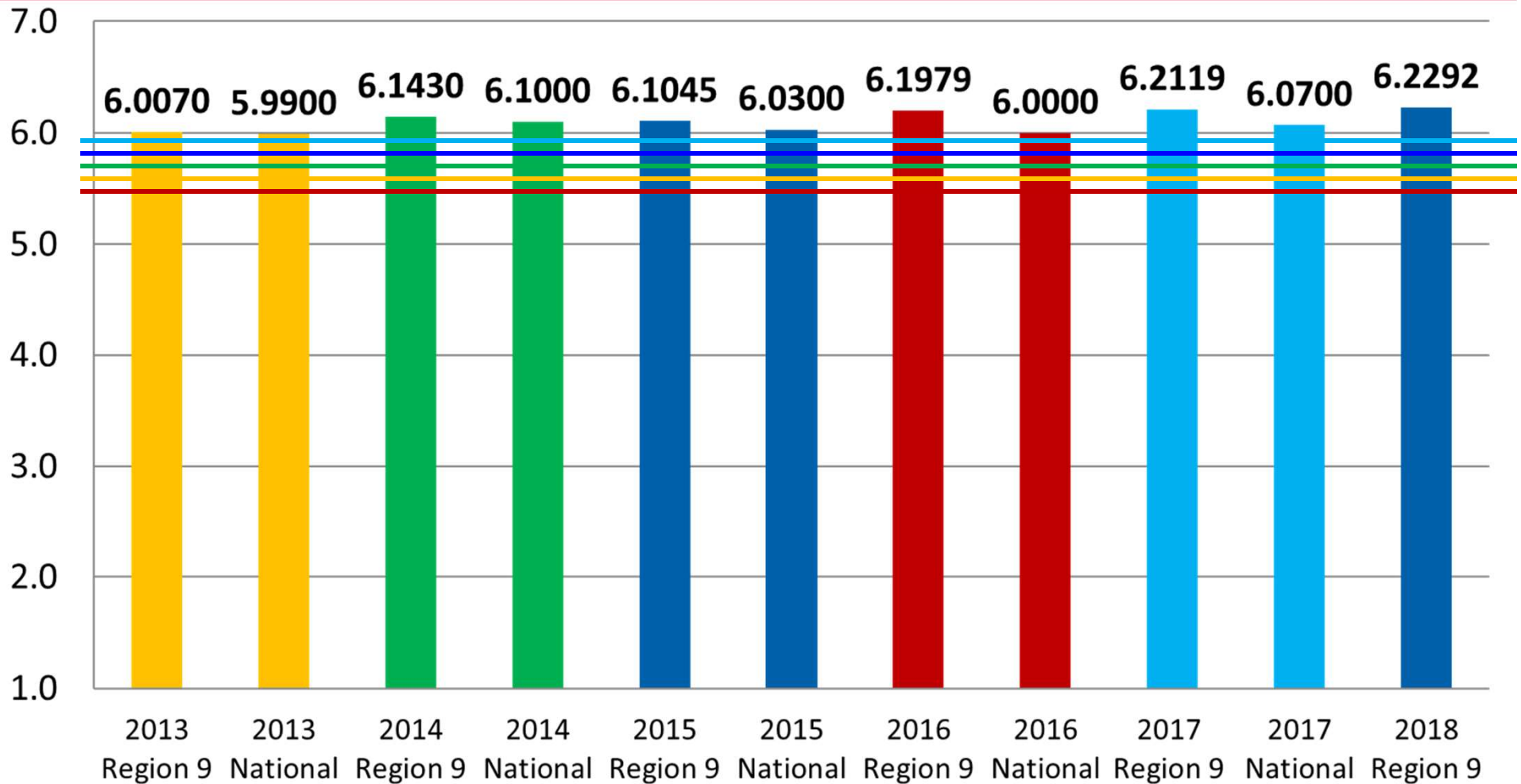
- ▶ Train all staff on culture of safety for all program options
- ▶ Implement proper Medication Administration & Storage
- ▶ Ensure staff are supported and trained on handling challenging behaviors and implementing child supervision at all times
- ▶ Adhere to regulations related to Exclusion, Suspension and Expulsion
- ▶ Plan nutritional needs of children accordingly including diligent adherence to avoiding food allergies
- ▶ EHS implements safe sleeping practices
- ▶ Ongoing monitoring of environments include environmental hazards, facility repairs/maintenance and observations of teacher-child / FCC provider-child interactions

School Readiness & Transition



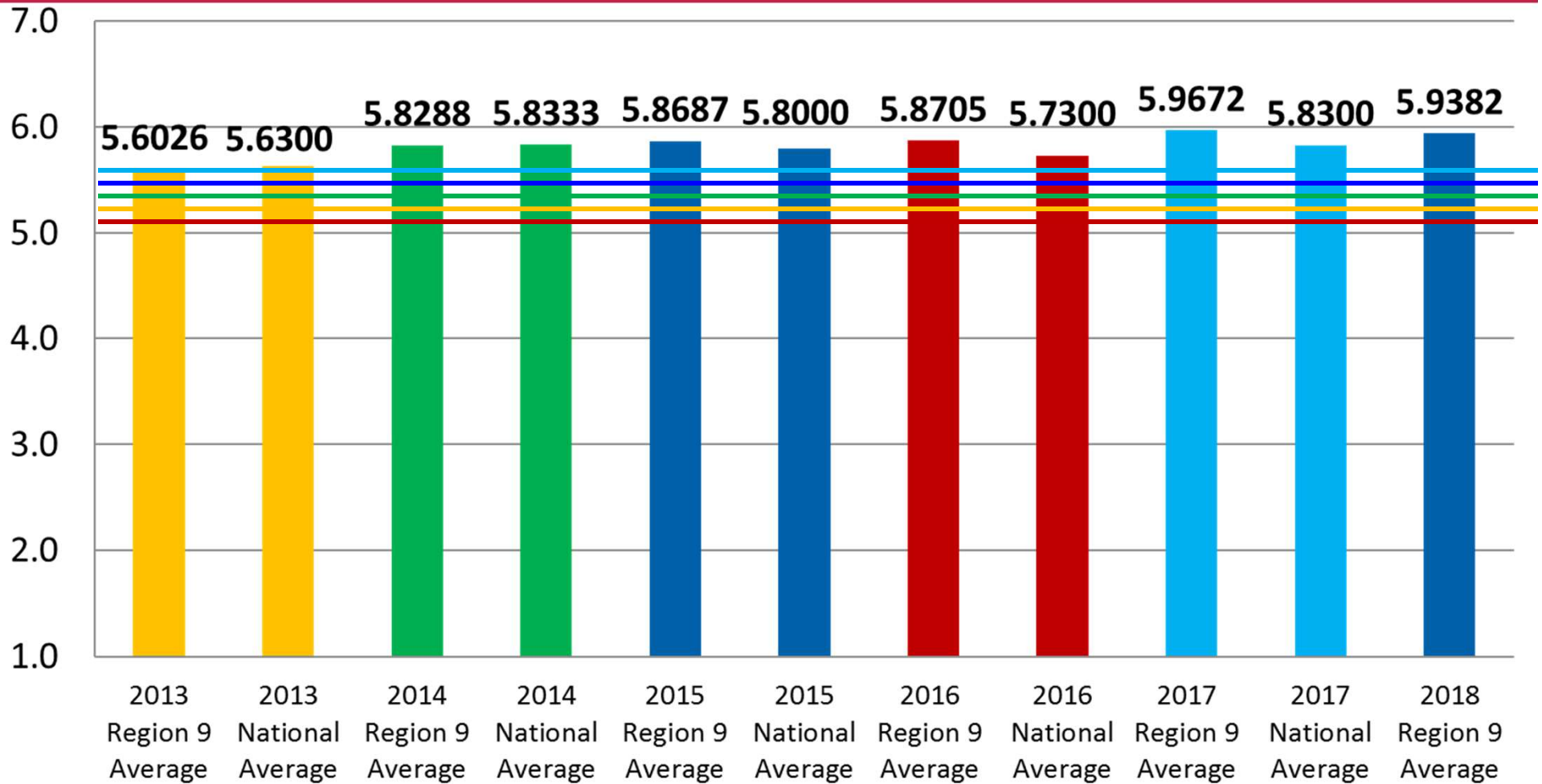
- ▶ Promote quality child development and learning for Birth to 5 years of age (continuum of services)
- ▶ School Readiness Goals:
 - include outcomes and measures for all program options (center based, home based, FCC and LDO)
 - align with local preschool and kindergarten readiness criteria
- ▶ Programs develop collaborative partnerships with local early childhood program and Kindergarten schools for successful transitions

Emotional Support CLASS Scores



Average Average Average Average Average Average Average Average Average Average
 FY2017 10% Threshold (5.702) ————
 FY2016 10% Threshold (5.5952) ————
 FY2015 10% Threshold (5.6563) ————
 FY2014 10% Threshold (5.7176) ————
 FY2013 10% Threshold (5.5400) ————
 Mandated Threshold – 4.0
 2018 Region 9 Average – Preliminary
 2018 National Average – No Data to Date
 Region 9 Office of Head Start | November 2018 | Arizona Head Start Association

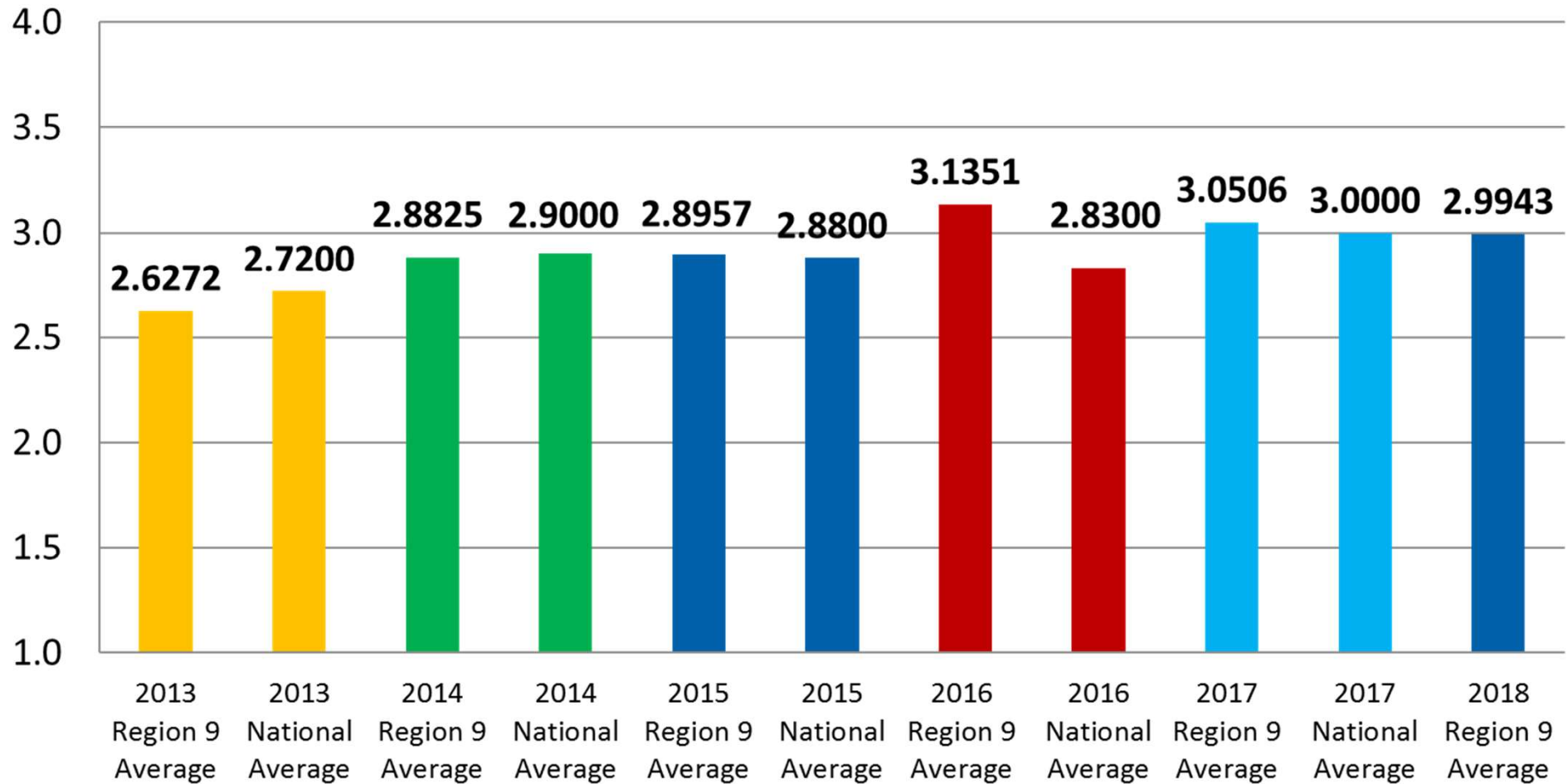
Classroom Organization CLASS Scores



FY2017 10% Threshold (5.3264) —
 FY2016 10% Threshold (5.2500) —
 FY2015 10% Threshold (5.2708) —
 FY2014 10% Threshold (5.3646) —
 FY2013 10% Threshold (5.0560) —

Mandated Threshold – 3.0
 2018 Region 9 Average – Preliminary
 2018 National Average – No Data to Date

Instructional Support CLASS Scores



FY2017 10% Threshold (2.3095) █
 FY2016 10% Threshold (2.2222) █
 FY2015 10% Threshold (2.2262) █
 FY2014 10% Threshold (2.2027) █
 FY2013 10% Threshold (2.1100) █

Mandated Threshold – 2.0
 2018 Region 9 Average – Preliminary
 2018 National Average – No Data to Date

FY 2018 Region 9 Monitoring

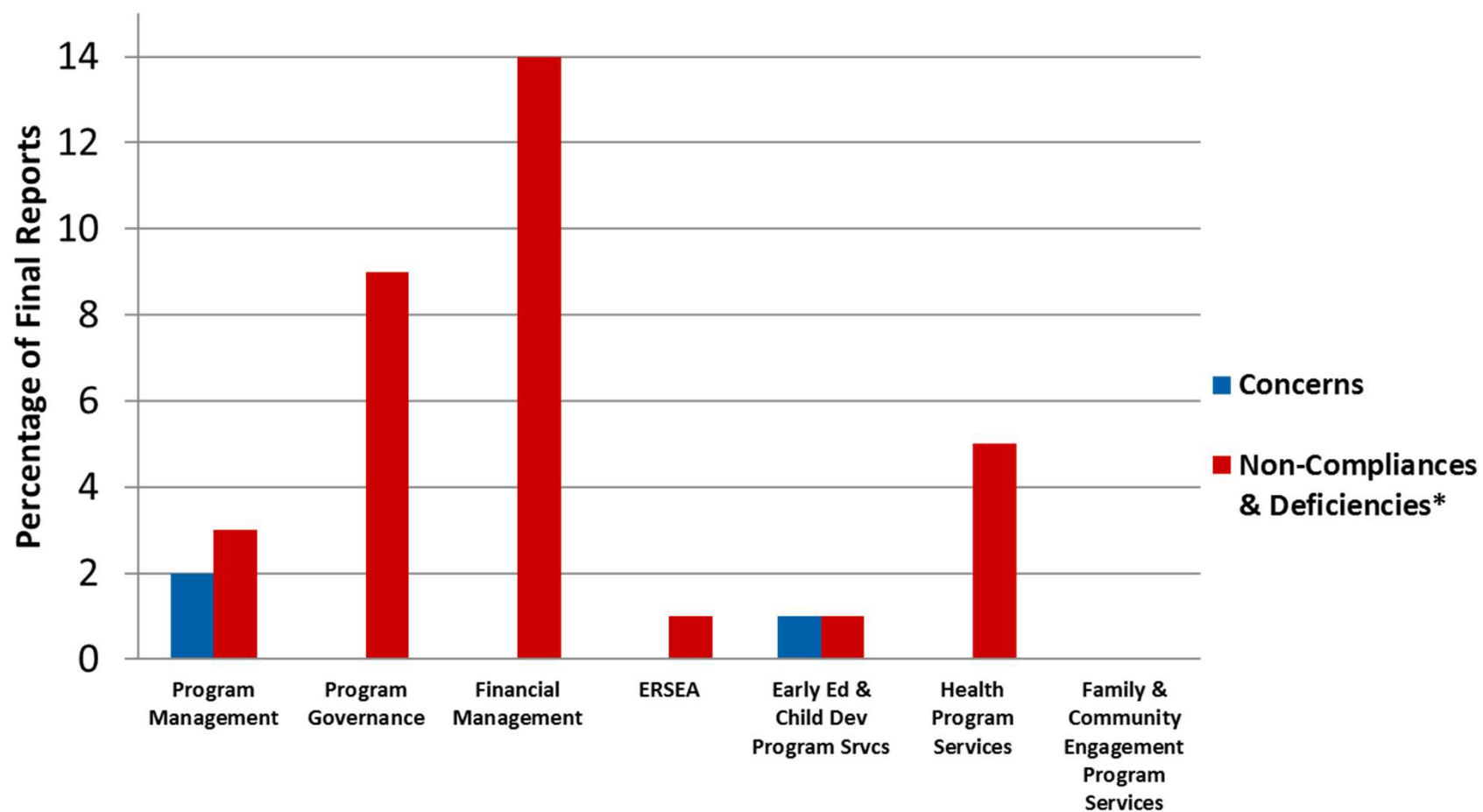


- + Number of Final Monitoring Reports – 36 *(As of 11/9/18)*
- + Number of Reports without ANCs or Deficiencies – 25
- + Number of Reports with ANCs – 5
- + Number of Reports with Deficiencies – 3
- + Number of Reports with Concerns – 3

FY 2018 OHS Monitoring Cited Areas of Non-Compliances, Deficiencies, and Concerns



Region 9 Percentage of Final Reports with Cited Areas



FY 2018 Cited Performance Standards Continued



Program Management	1302.91e(1)(2) / 1302.91e(6)(i)	Grantee did not ensure all center-based staff or home visitors met required qualifications
	1302.92(b)(5)	Grantee did not consistently establish and implement a systematic approach to staff training
	1302.101(a)(1)	Grantee did not have a management system in place to ensure a program, fiscal and human resource management structure that provided effective management
	1302.102(d)(1)(ii)	Grantee did not ensure staff reported incident of corporal punishment to the responsible Health and Human Services (HHS) official, immediately or as soon as practicable

FY 2018 Cited Performance Standards Continued



Program Governance	642(c)(1)(B)(vi)	Grantee did not establish and maintain a formal structure of program governance
	642(c)(1)(A)	The grantee did not ensure the governing body exercised its legal and fiscal responsibility for the Head Start Agency
	642(c)(1)(B)(ii)	Grantee did not ensure its governing body had the required composition of members, including one member with a background in early childhood education and development
	642(c)(1)(B)(i-iii)	Grantee did not ensure its governing body had the required composition of members including: one member with financial management experience or early childhood education experience and one licensed attorney
	642(c)(1)(C)(ii)	Grantee did not establish and maintain a form structure for program governance to ensure members of the governing body did not receive compensation for providing services to the Head Start agency

FY 2018 Cited Performance Standards Continued



Program Governance <i>(Continued)</i>	642(c)(1)E(iv)(VII)(cc)	The governing body did not ensure compliance with Federal laws and regulations. The governing body did not approve financial management, accounting and reporting policies
	642(d)(2)(A)	The grantee did not ensure that it shared monthly financial statements, including credit card expenditures with the governing body
	642(d)(3)	Grantee did not ensure its governing board received required governance and leadership capacity assessment and training

FY 2018 Cited Performance Standards Continued



Financial Management	75.302(b)(2-3)	Grantee did not establish and maintain an effective reporting system to provide accurate, current and complete disclosure of financial results of each Federal award
	75.302(b)(4)	Grantee did not ensure financial management system provided adequate safeguarding of all assets and ensure they were used solely for authorized purposes
	75.302(b)(4-5)	Grantee did not maintain a financial management system to provide effective control and accountability of Head Start and Early Head Start funds
	75.303(a)	Grantee did not ensure its management system provided effective internal control over the Federal award and provided reasonable assurance
	75.303(b)	Grantee did not comply with terms and conditions of the Federal award. Specifically, the grantee did not use cost-of-living allowance funds to permanently increase staff salaries
	75.320(a)(2)	Grantee did not ensure equipment acquired with grant funds was not encumbered or used as collateral without written permission from the responsible HHS official

FY 2018 Cited Performance Standards Continued



Financial Management <i>(Continued)</i>	75.327(a)	Grantee did not ensure compliance with its own written procurement procedures and did not maintain records sufficient to detail the history of all procurements
	75.327(c)(1)	Grantee did not ensure employees participating in the selection, award and administration of a contract supported by a Federal award had no real or apparent conflict of interest
	75.403(a, g)	Grantee did not ensure costs charged to the EHS-CCP grant awards were necessary and reasonable for the performance of the award and adequately documented
	75.405(a)(2)	Grantee did not ensure all costs charged to EHS and EHS-CCP were allocated in accordance with relative benefits received or distributed to benefiting projects using a reasonable method
	75.430(a)(1)	Grantee did not ensure total compensation for individual employees was reasonable for services rendered and conformed to its established written policy consistently applied to both Federal and non-Federal activities
	75.430(b)	Grantee did not ensure compensation for employees engaged in work on Federal awards was reasonable
	75.435	Grantee charged unallowable costs incurred for the defense of a suit brought by an ex-employee to the EHS-CCP grant

FY 2018 Cited Performance Standards Continued



Early Education and Child Development Childhood Program Services	642(f)(3)	Grantee did not implement a research-based early childhood curriculum that promotes school readiness
	1302.31(b)(1)(i)	Grantee did not ensure teaching practices included developmentally appropriate learning experiences that were focused toward achieving progress outlined in the HSELOF

FY 2018 Cited Performance Standards Continued



Health Program Services	1302.21(b)(1)(3)(4)	The grantee did not maintain staff-child ratios and group size maximums as determined by the age of the majority of children present
	1302.47(b)(1)(ii)(iv)(ix)	Grantee did not ensure all facilities, equipment and materials were free from hazards designed to prevent child injury
	1302.47(b)(2)(iv)	Grantee did not allow for separation of infants and toddlers from preschoolers during play in center-based programs
	1302.44(a)(2)(iv)	Grantee did not ensure infants and toddlers were fed according to their developmental readiness and feeding skills
	1302.90(c)(1)(ii)(A)	Grantee did not ensure staff reported incident of corporal punishment to the responsible Health and Human Services (HHS) official, immediately or as soon as practicable

Region 9 TTA Priorities & Events 2018-19



▶ **Leadership Development**

- Leadership Institute (Cohort of new HS/EHS Directors and Managers)
- Fiscal 101 Institute (New CFO & Director)
- Data Analysis / Program Planning / Continuous Program Improvement
- Leadership Professional Development

▶ **High Quality Teaching and Learning**

- School Readiness Data Institute
- Cultivating Quality Environments
- Curriculum Fidelity
- Responsive Care Giving & Effective Teaching Practices
- CLASS supports / data analysis
 - CO – Behavior Management & ILF
 - IS – Concept Development & Quality Feedback
- Coaching Training & Capacity Building

Region 9 TTA Priorities & Events 2018-19



- ▶ **Social Emotional Supports**
 - Pyramid Model - Targeted Strategies
 - Mental Health supports to families and children
 - Staff Wellness
- ▶ **Child Health & Safety**
 - Culture of Safety Institute
 - Effective Safety Practices
 - Strengthening Health Services Management
- ▶ **Family Engagement & Outcomes**
 - PFCE Academy
 - PFCE System Building
 - Parenting Curriculum

Thank you for your partnership with OHS



Please contact your assigned
Program Specialist for questions

